

# LECTURE #3

Methods of Proof (1.6 in text)

Starting on p.37 of John's notes.

Theorem: a statement that can be shown to be true. (result)

Proof: a demonstration of the correctness of a theorem.

Lemma: a less important, but helpful result, typically used to construct a proof.

Corollary: a result/fact that can be established directly from an existing proof.

Conjecture: a statement proposed as true, but for which we have no proof.

What is a Proof?

Direct Proof: To prove the implication  $p \rightarrow q$  start by assuming  $p$  is true. Now prove that  $q$  is true under this assumption.

EX: Prove "If  $n$  is an odd integer, then  $n^2$  is an odd integer"

Assume " $n$  is an odd integer"

Then  $n = 2k + 1$  for some  $k$

$$\text{so } n^2 = (2k+1)^2 = 4k^2 + 4k + 1$$

$$= 2(2k^2 + 2k) + 1$$

$$= 2t + 1 \quad (\text{which is odd}).$$

$\therefore n^2$  is odd.

It is the implication we are trying to prove, not  $p$  or  $q$ .

Indirect Proof (Proof by Contraposition)

Recall that  $p \rightarrow q \equiv \neg q \rightarrow \neg p$ . So to prove  $p \rightarrow q$ , we could prove  $\neg q \rightarrow \neg p$  using a direct proof, so assume  $\neg q$  and show  $\neg p$ .

Ex: If  $3m+2$  is odd, then  $m$  is odd.

$p$ :  $3m+2$  is odd

$q$ :  $m$  is odd.

Assume  $m$  is not odd, or  $\neg q$ , prove  $\neg q \rightarrow \neg p$ .

$\neg q$ , so  $m = 2k$  for some  $k$ .

$$\begin{aligned}
 \text{then } 3m+2 &= 3(2k) + 2 \\
 &= 6k + 2 \\
 &= 2(3k + 1) \\
 &= 2t \text{ - so has form } 2k, \text{ so } 3m+2 \text{ is even!}
 \end{aligned}$$

Vacuous / Trivial Proofs

When proving  $p \rightarrow q$ , if  $p$  is false then the statement follows automatically.

Ex: Let  $P(n) = \text{"if } n > 1, \text{ then } n^2 > n\text{"}$ . Prove  $P(0)$ .

The statement reads "if  $0 > 1$  then  $0^2 > 0$ ", but but " $0 > 1$ " is false, so the hypothesis is false and the claim follows trivially."

More Examples

Ex: Prove that the sum of two rational numbers is rational.

Suppose  $r, s$  are rational numbers.

Then  $r = \frac{a}{b}, s = \frac{c}{d}$

$$\begin{aligned}
 r+s &= \frac{a}{b} + \frac{c}{d} && \text{Assume } \{a, b, c, d\} \in \mathbb{Z}^+ \\
 &&& b, d \neq 0 \\
 &= \frac{ad + cb}{bd} && \leftarrow \text{all integers}
 \end{aligned}$$

$\therefore r + s$  is rational (A direct proof).

Ex: If  $n$  is an integer and  $n^2$  is odd, then  $n$  is odd.

Direct? Assume  $O(n) \rightarrow O(n^2)$ .  $P \rightarrow Q$

$$n^2 = 2k + 1$$

$$n = \sqrt{2k + 1}$$

This isn't helpful as we don't know  $k$ .

Indirect

Assume  $n$  is even ( $\neg Q$ ) and prove  $\neg Q \rightarrow \neg P$ .

$$n = 2k$$

$$n^2 = (2k)^2 = 4k^2 = 2(2k^2) = 2t$$

So  $n^2$  is even if  $n$  is even.

Proof By Contradiction

Suppose we want to prove  $P$ . If we can show  $\neg P \rightarrow F$  (ie.  $\neg P$  leads to a contradiction) is true, then  $\neg P$  must be false  $\therefore P$  is T.

Ex:  $\sqrt{2}$  is irrational.

ie. we cannot express  $\sqrt{2}$  as  $\frac{a}{b}$  where  $\{a, b\} \in \mathbb{Z}^+$

Suppose  $\sqrt{2}$  is rational.

Thus  $\sqrt{2} = \frac{a}{b}$  for  $\{a, b\} \in \mathbb{Z}^+$  and  $b \neq 0$ .

Also, assume  $a, b$  have no common factors (ie. they cannot be reduced.)

Square both sides  $2 = \frac{a^2}{b^2}$

Then  $a^2 = 2b^2$ , so  $a^2$  is an even number, and thus  $a$  is even and we can state  $a = 2c$

Thus  $2b^2 = (2c)^2 = 4c^2$  so  $b^2 = 2c^2$ , thus  $b$  is also an even number.

So both  $a, b$  are even, thus they share a common factor '2'.

This is a contradiction, so our assumption  $\neg P$  is false, so  $\sqrt{2}$  is irrational.

## Proof by Cases (Time permitting prove if $m+n$ and $m+p$ are even then $m+p$ is even.) (19b)

If we wish to prove  $P \rightarrow Q$  and we can break  $P$  down into  $n$  cases such that

$$(P_1 \vee P_2 \vee P_3 \dots \vee P_n) \rightarrow Q$$

then we can prove the (logically equivalent) statement:

$$[(P_1 \rightarrow Q) \wedge (P_2 \rightarrow Q) \wedge \dots \wedge (P_n \rightarrow Q)]$$

Ex:

$x, y \in \mathbb{R}$  then prove that  $|xy| = |x||y|$

There are four possible combinations of sign values for  $x$  and  $y$ .

Case 1:  $x \geq 0, y \geq 0$  then  $xy \geq 0$

$$\text{Then } |xy| = xy = |x||y|$$

Case 2:  $x \geq 0, y < 0$  [ $xy < 0$  and  $y < 0$  implies  $|y| = -y$ ]

$$\text{Then } |xy| = -xy = x(-y) = |x||y|$$

Case 3:  $x < 0, y \geq 0$  [we use same arg on  $x$ ].

$$\text{Then } |xy| = -xy = (-x)y = |x||y|$$

Case 4:  $x < 0, y < 0$  [ $xy > 0$ ].

$$\text{Then } (-x)(-y) > 0$$

$$\text{Hence } |xy| = xy = (-x)(-y) = |x||y|$$

## Equivalence Proofs

To prove  $P \leftrightarrow Q$  prove  $(P \rightarrow Q) \wedge (Q \rightarrow P)$

Ex:  $n$  is odd, iff  $n^2$  is odd.

Step ① Assume  $n$  is odd, then  $n = 2k+1$  and  $n^2 = 4k^2 + 4k + 1 = 4k^2 + 4k + 1 = 2(k^2 + 2k) + 1 = 2t + 1$  (odd)

Step ② Assume  $n^2$  is odd, show that  $n$  is odd through the indirect proof on previous page.

Existence Proofs.

To prove  $\exists x P(x)$

Constructive : Find example of a s.t.  $P(a)$  is true.

Non-constructive: prove it some other way, (i.e. don't need to find 'a', just show that an a must exist.

Ex: (Constructive)

Show that there is a positive integer that can be written as the sum of cubes in two different ways.

$$1729 = 10^3 + 9^3 = 12^3 + 1^3$$

Ex: (Non-constructive)

Show that there exist irrational numbers  $x, y$  s.t.  $x^y$  is rational.

How?

Start : we know  $\sqrt{2}$  is irrational number.

What about  $\sqrt{2}^{\sqrt{2}}$  ( $x=\sqrt{2}, y=\sqrt{2}$ ), if it is rational we are done, otherwise we are not done.

So let  $z = \sqrt{2}^{\sqrt{2}}$  (which would be irrational). and  $y = \sqrt{2}$

$$z^x = (\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} = \sqrt{2}^2 = 2 \text{ (which is rational)}$$

Key - we don't know if z or x is irrational, but one of them must be.

Uniqueness Proofs.

First show that  $P(x)$  is true for some  $x$ , and then show that if  $P(y), y \neq x$  then  $P(y)$  is False. (or that if  $P(y)$  is true,  $y = x$ ).

Ex: If  $p$  is an integer, then there exists a unique  $q$  s.t.  $p+q=0$ .

Existence: Let  $q = -p$  then  $p+q = p-p = 0$

Uniqueness: Suppose  $p+r=0$  and  $p+q=0$  with  $q \neq r$ .

Then  ~~$p+r = p+q$~~ , so  $r = q$  - a contradiction.

### Counter Examples

For a statement of the form  $\forall x P(x)$ , we can prove  $\forall x P(x) = F$  if we can find a single  $a$  s.t.  $P(a) = F$ .

Ex: Show that "If  $a$  and  $b$  are irrational numbers, then  $a \cdot b$  is irrational".

Counter - example  
 $a = \sqrt{5}$   $b = \sqrt{5}$  then  $\sqrt{5} \cdot \sqrt{5} = 5 = \frac{5}{1} = a \cdot b$ .

So our conjecture is not true.

## SETS - Chapter 2

### Section 2.1 (Sets)

A set is a collection of unordered objects. (this is vague?).

The objects in a set are called elements (members) of the set.

The set contains its elements.

> Say we have an object  $x$  and a set  $Z$  (typically use u.c. for sets and l.c. for elements).

$x \in Z$  if object  $x$  is an element of  $Z$ .

$x \notin Z$  if " " " not an element of  $Z$ .

> Describing sets, list elements

$\{a, b, c\}$  set containing first 3 letters of the alphabet.

> The type of object for each element need not be the same.

$\{\text{dog}, \sqrt{2}, \pi, m\}$

Ellipses can be used (in the brackets) if a pattern is clear..

$$\{1, 2, 3, 4, \dots, 50\}$$
 all integers from 1 to 50.

> Some sets, which we commonly refer to have commonly agreed upon notations:

$\mathbb{R}$  : real numbers.

$\mathbb{Z}$  : integers. ( $\mathbb{Z}^+$  - +ve integers).

$\mathbb{N}$  : natural numbers

$\mathbb{Q}$  : rational numbers.

> The elements of a set may be other sets:

$$A = \{1, 2, 3\}$$

$$B = \{a, b, c\}$$

$$C = \{A, B\}$$

> It is possible to have an empty set  $\{\}$  which we write  $\emptyset$ .  
It is not the same as  $\{\emptyset\}$ , which is a set containing one set (which happens to be empty). [Folders example].

> One common way to define sets is to use set builder notation:

$$\mathbb{R} = \{r \mid r \text{ is a real number}\}$$

$$A = \{x \mid x \in \mathbb{Z}^+ \text{ and } x < 7\} = \{1, 2, 3, 4, 5, 6\}$$

> Cardinality: the number of distinct elements in a set, we denote it  $|A|$ , if  $|A|$  is  $\infty$  (eg  $|\mathbb{R}|$ ) then we say the set is infinite.

> Order & duplicate elements do not matter

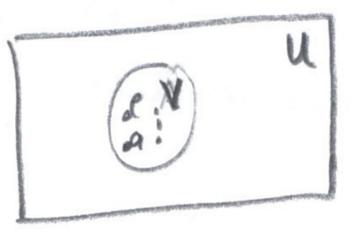
eg.

$$\{2, 4, 6, 8\} = \{8, 6, 4, 2\}$$

$$\{1, 2\} = \{1, 2, 1, 2, 1, 2\}$$

# Venn Diagrams

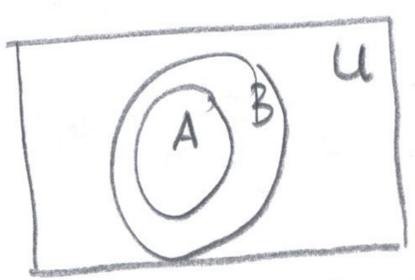
A graphical representation for sets. We begin with a rectangle representing the universal set  $U$  (domain).



Inside a circle (or other shape) represents the set(s).  
If desired points can be drawn to indicate particular elements of the set.

Subsets:  $A$  is a subset of  $B$  iff every element of  $A$  is also an element of  $B$

Denoted  $A \subseteq B$  -  $A$  is subset of  $B$ .



Note that  $A \subseteq A$  by this defn.

## Examples:

$$\{1, 2, 3\} \subseteq \mathbb{Z}^+$$

$$\{x, y, z\} \subseteq A \text{ - where } A \text{ is letters of the alphabet.}$$

$$\text{people in this class} \subseteq \text{Carleton university students.}$$

$$\text{all Canadians} \subseteq \text{all Canadians}$$

## Proper Subset

$A \subseteq B$  and  $A \neq B$  then we say  $A$  is a proper subset of  $B$  and write:

$$A \subset B$$

Break Time (10min)

Subsets (cont)

Note that  $A \subseteq B \iff \forall x (x \in A \rightarrow x \in B)$

For any set  $S$ :

$$\textcircled{1} \quad \emptyset \subseteq S$$

Proof:  $\forall x (x \in \emptyset \rightarrow x \in S)$

Since  $|\emptyset| = 0$ ,  $x \in \emptyset = F$  for all  $x$ .

$F \rightarrow q \equiv T$ , so this is true for any  $x$ .

Equality

Two sets are equal if they are subsets of each other

$A \subseteq B$  and  $B \subseteq A$ .

$$\begin{aligned} (A \subseteq B) \wedge (B \subseteq A) &\iff \forall x ((x \in A \rightarrow x \in B) \wedge (x \in B \rightarrow x \in A)) \\ &\iff \forall x (x \in A \leftrightarrow x \in B) \\ &\implies A = B. \end{aligned}$$

Power Set

The power set of set  $A$  is the set of all subsets of  $S$ , denoted  $P(A)$ .

EX: What is the power set of  $\{a, b, c\}$

$$\{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$$

Cartesian Product

If  $A$  and  $B$  are sets, then the cartesian product of  $A$  and  $B$ ,

$A \times B$ , is the set of ordered pairs where the first element is drawn from  $A$  and the second from  $B$ .

$$\underline{\underline{\text{EX}}}: \quad A \times B = \{(a, b) \mid (a \in A) \wedge (b \in B)\}$$

$$\begin{aligned} A &= \{1, 2\} \\ B &= \{a, b, c\} \\ &\{ (1, a), (1, b), (1, c), (2, a), (2, b), (2, c) \} \end{aligned}$$

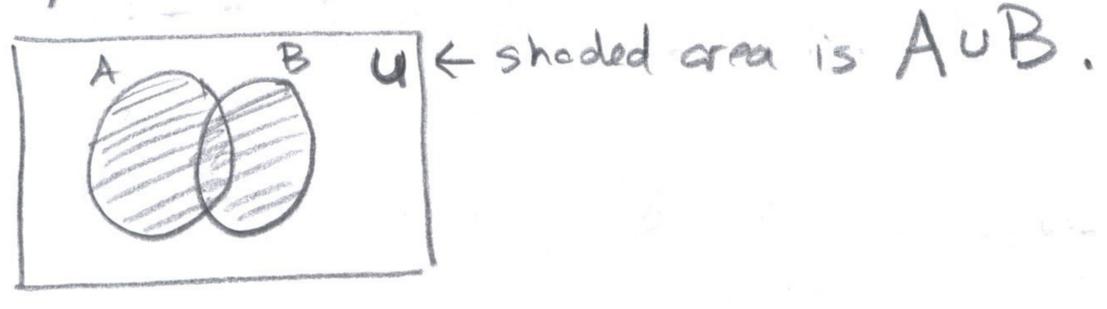
If we have sets  $A_1, A_2, A_3, \dots, A_n$  the cartesian product is  $A_1 \times A_2 \times \dots \times A_n$  - and is a set of  $n$ -tuples  $(a_1, a_2, \dots, a_n)$  where  $a_i \in A_i$

Ex:  
 $A = \{a\}$   
 $B = \{b, c\}$   
 $C = \{d, e, f\}$   
 $A \times B \times C = \{(a, b, d), (a, b, e), (a, b, f), (a, c, d), (a, c, e), (a, c, f)\}$

### Set Operations

How can we combine two sets? (or more).

Union  
Let  $A$  and  $B$  be sets. The union of sets  $A$  and  $B$ , denoted  $A \cup B$ , is the set containing the elements in  $A, B$ , and both.



Ex:  
 $A = \{a, b, c, d\}$   
 $B = \{a, f, g\}$   
 $A \cup B = \{a, b, c, d, f, g\}$   
 $A \cup B = \{x \mid x \in A \vee x \in B\}$   
 $\forall x \{ [(x \in A) \vee (x \in B)] \rightarrow x \in [A \cup B] \}$

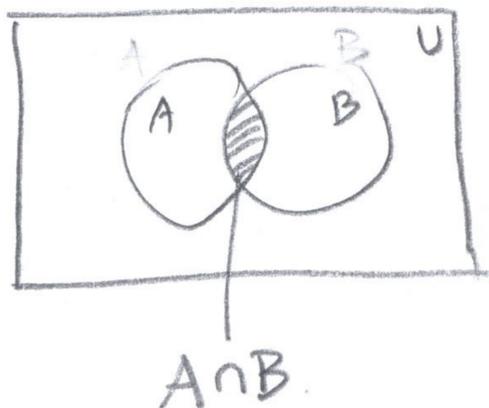
We can have the union of many sets.

$$A \cup B \cup C \cup D$$

## Intersection

Let  $A$  and  $B$  be sets. The intersection of  $A$  and  $B$ , denoted  $A \cap B$  is the set containing elements in both  $A$  and  $B$ .

Venn



$$A \cap B = \{x \mid x \in A \wedge x \in B\}$$

$$\boxed{\forall x \left( [(x \in A) \wedge (x \in B)] \rightarrow (x \in (A \cap B)) \right)}$$

$$A = \{2, 4, 6, 8\}$$

$$A \cap B = \{4, 6\}$$

$$B = \{4, 5, 6, 7\}$$

We say that  $A$  and  $B$  are disjoint if  $A \cap B = \emptyset$ .

## Difference

$A, B$  sets. The difference, denoted  $A - B$ , is the set containing those elements in  $A$  that are not in  $B$ .

Often denoted  $A \setminus B$

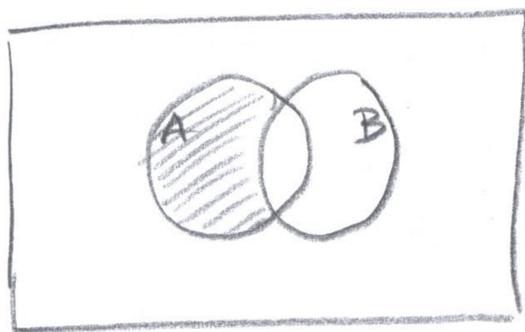
Ex

$$A = \{a, b, c, d\}$$

$$B = \{b, d\}$$

$$A \setminus B = \{a, c\}$$

$$\text{Note: } A \setminus B = \{x \mid x \in A \wedge x \notin B\}$$

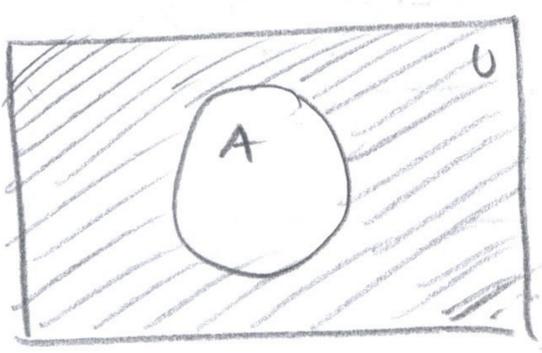


### Complement

Let  $U$  be the universal set. Then the complement of set  $A$ , denoted  $\bar{A}$ , is the set of elements not in  $A$ .

$$\bar{A} = U \setminus A$$

$$\bar{A} = \{x \mid x \notin A\}$$



Ex: Let  $A$  be set of positive integers  $> 5$ .

$$\bar{A} = \{0, 1, 2, 3, 4, 5\}$$

Ex: Domain  $\mathbb{Z}^+$

$$A = \{x \mid x \text{ is an even } \#\}$$

$$\bar{A} = \{x \mid x \text{ is an odd } \#\}$$

### Membership Tables

In general, we can combine sets in much the same way as we combine propositions.

Asking if element  $x$  is in the resulting set is like asking if a proposition is true.

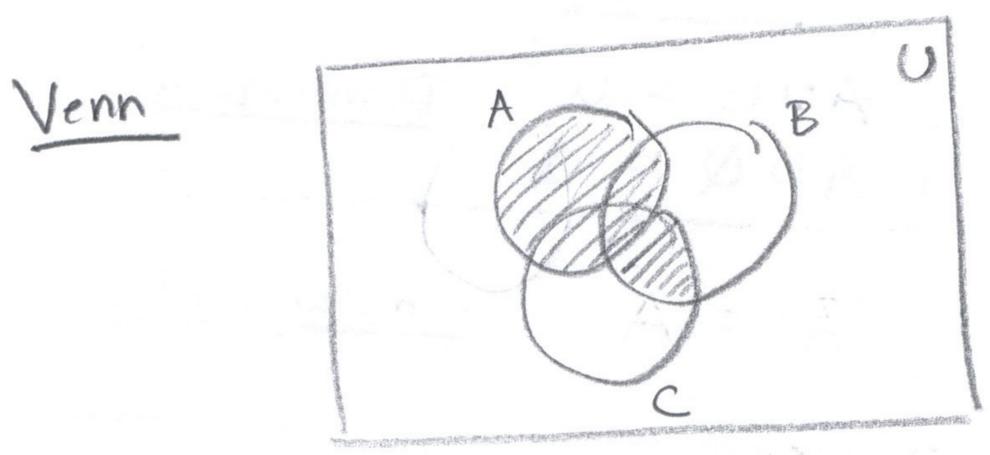
' $x$ ' could be in any of the original sets.

Let '1' denote  $x \in S$

0 denote  $x \notin S$

What does  $A \cup (B \cap C)$  look like.

A	B	C	$(B \cap C)$	$A \cup (B \cap C)$
1	1	1	1	1
1	1	0	0	1
1	0	1	0	1
1	0	0	0	1
0	1	1	1	0
0	1	0	0	0
0	0	1	0	0
0	0	0	0	0



How do we show that sets are equal. (show they are subsets of each other)

Ex: Show  $\overline{A \cap B}$  and  $\overline{A} \cup \overline{B}$  are equal.

$$\begin{aligned}
 x \in \overline{A \cap B} &\rightarrow x \notin (A \cap B) \\
 &\rightarrow \neg(x \in (A \cap B)) \\
 &\rightarrow \neg((x \in A) \wedge (x \in B)) \\
 &\rightarrow \neg(x \in A) \vee \neg(x \in B) \text{ De Morgan's Law} \\
 &\rightarrow x \notin A \vee x \notin B \\
 &\rightarrow x \in \overline{A} \vee x \in \overline{B} \\
 &\rightarrow \overline{A} \cup \overline{B}
 \end{aligned}$$

$$\begin{aligned}
 x \in (\overline{A} \cup \overline{B}) &\rightarrow x \in \overline{A} \vee x \in \overline{B} \\
 &\rightarrow x \notin A \vee x \notin B \\
 &\rightarrow \neg(x \in A) \vee \neg(x \in B) \\
 &\rightarrow \neg((x \in A) \wedge (x \in B)) \\
 &\rightarrow \neg(x \in (A \cap B)) \\
 &\rightarrow x \notin (A \cap B) \\
 &\rightarrow x \in \overline{(A \cap B)}
 \end{aligned}$$

$\therefore$  if  $x \in \overline{(A \cap B)}$  then  $x \in (\overline{A} \cup \overline{B})$  and vice-versa.

Or, using a membership table

A	B	$A \cap B$	$\overline{A \cap B}$	$\bar{A}$	$\bar{B}$	$\bar{A} \cup \bar{B}$
1	1	1	0	0	0	0
1	0	0	1	0	1	1
0	1	0	1	1	0	1
0	0	0	1	1	1	1

these are same so the sets are equal.

Finally, we can use SET IDENTITIES (see Logical Equivalences) or Laws

$A \cup \emptyset = A$ $A \cap U = A$ <u>Identity</u>	$A \cup U = U$ $A \cap \emptyset = \emptyset$ <u>Dominance</u>
$A \cup A = A$ $A \cap A = A$ <u>Idempotent</u>	$\overline{(\bar{A})} = A$ <u>Complementation</u>
$A \cup B = B \cup A$ $A \cap B = B \cap A$ <u>Commutative</u>	$A \cup (B \cap C) = (A \cup B) \cap C$ $A \cap (B \cup C) = (A \cap B) \cup C$ <u>Associative</u>
$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ <u>Distributive</u>	$\overline{A \cup B} = \bar{A} \cap \bar{B}$ $\overline{A \cap B} = \bar{A} \cup \bar{B}$ <u>De Morgans</u>
$A \cup (A \cap B) = A$ $A \cap (A \cup B) = A$ <u>Absorption</u>	$A \cup \bar{A} = U$ $A \cap \bar{A} = \emptyset$ <u>Complement</u>

What about set differences.

We use  $A \setminus B = A \cap \bar{B}$  "Difference Equivalence"

Ex: Is  $(A \setminus C) \cap (B \setminus C) = (A \cap B) \cap \bar{C}$  ?

① Membership Table

A	B	C	A\C	B\C	$(A \setminus C) \cap (B \setminus C)$	$A \cap B$	$\bar{C}$	$(A \cap B) \cap \bar{C}$
1	1	1	0	0	0	1	0	0
1	1	0	1	1	1	1	1	1
1	0	1	0	0	0	0	0	0
1	0	0	1	0	0	0	1	0
0	1	1	0	0	0	0	0	0
0	1	0	0	1	0	0	1	0
0	0	1	0	0	0	0	0	0
0	0	0	0	0	0	0	1	0

same  
So they are equivalent.

② Using SET IDENTITIES

$$\begin{aligned}
 (A \setminus C) \cap (B \setminus C) &= (A \cap \bar{C}) \cap (B \cap \bar{C}) \quad \text{"Diff Equiv."} \\
 &= (A \cap B) \cap (\bar{C} \cap \bar{C}) \quad \text{"Associative, Commutative"} \\
 &= (A \cap B) \cap \bar{C} \quad \text{"Idempotent"}
 \end{aligned}$$